

# United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/965,932	09/28/2001	Richard Foltak	M-11646 US	3289	
	7590 12/21/2004 TEPHENSON ASCOL	-	EXAM	EXAMINER	
4807 SPICEWO	OOD SPRINGS RD.		NEURAUTER, GEORGE C		
BLDG. 4, SUIT AUSTIN, TX 7		•	ART UNIT	PAPER NUMBER	
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			2143		
		·			
SHORTENED STATUTOR	Y PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE		
3 MOI	NTHS	12/21/2006	PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

	Application No.	Applicant(s)	
	09/965,932	FOLTAK ET AL.	
Office Action Summary	Examiner	Art Unit	
·	George C. Neurauter, Jr.	2143	
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with	the correspondence ac	ddress
A SHORTENED STATUTORY PERIOD FOR REPL' WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period of Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICA 36(a). In no event, however, may a reply will apply and will expire SIX (6) MONTH: cause the application to become ABAN	TION.  be timely filed  from the mailing date of this of DONED (35 U.S.C. § 133).	
Status			
1) ☐ Responsive to communication(s) filed on 10 O     2a) ☐ This action is <b>FINAL</b> . 2b) ☐ This     3) ☐ Since this application is in condition for alloware closed in accordance with the practice under E	action is non-final.	·	e merits is
Disposition of Claims			
4) ☐ Claim(s) 1-4,6-31,33-49 and 51-70 is/are pend 4a) Of the above claim(s) is/are withdraw 5) ☐ Claim(s) 1-4,6-31,33-45 and 64-70 is/are allow 6) ☐ Claim(s) 46-49 and 51-63 is/are rejected.  7) ☐ Claim(s) is/are objected to.  8) ☐ Claim(s) are subject to restriction and/or	wn from consideration. red.		
Application Papers			
9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) access applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Examine	epted or b) objected to by drawing(s) be held in abeyance ion is required if the drawing(s)	. See 37 CFR 1.85(a). is objected to. See 37 C	
Priority under 35 U.S.C. § 119			•
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:  1. Certified copies of the priority documents 2. Certified copies of the priority documents 3. Copies of the certified copies of the prior application from the International Bureau * See the attached detailed Office action for a list	s have been received. s have been received in Appl rity documents have been red u (PCT Rule 17.2(a)).	lication No ceived in this National	Stage
Attachment(s)			
Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	Paper No(s)/M	mary (PTO-413) lail Date mal Patent Application	

Application/Control Number: 09/965,932 Page 2

Art Unit: 2143

#### DETAILED ACTION

Claims 1-4, 6-31, 33-49, and 51-70 are currently presented and have been examined.

## Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 10 October 2006 has been entered.

## Response to Arguments

Applicant's arguments with respect to "RFC 2866" in view of Hundscheidt have been fully considered and are persuasive. The rejection of claims 1-4, 6-25, 28-31, 33-49, and 51-70 has been withdrawn.

# Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Application/Control Number: 09/965,932

Art Unit: 2143

Claims 46-49 and 51-63 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

Claims 46-49 and 51-63 recites a computer program product encoded in a computer readable medium or media. In one of the embodiments of such computer readable media, the specification discloses on page 4, lines 11-13 that "Additionally, applications may be in the form of electronic signals modulated in accordance with the application and data communication technology when accessed via network modem or interface."

Signals used as computer readable media are not currently considered to be statutory subject matter since a signal encoded with functional descriptive material does not fall under any of the four statutory classes. See ANNEX IV "Computer-Related Nonstatutory Subject Matter", section (c) "Electro-Magnetic Signals" of the "Interim Guidelines for Examination of Patent Applications for Patent Subject Matter Eligibility", released 22 November 2005 in the Official Gazette ("Moreover, it does not appear that a claim reciting a signal encoded with functional descriptive material falls within any of the categories of patentable subject matter set forth in Sec. 101...These interim guidelines propose that such signal claims are ineligible for

Application/Control Number: 09/965,932

Art Unit: 2143

patent protection because they do not fall within any of the four statutory classes of Sec. 101.")

The claims must be amended to overcome this rejection under 35 USC 101 in order to remove the signal embodiment as a computer readable medium within the scope of the claim. It is suggested by the Examiner that the medium be amended to recite a non-signal medium such as a computer readable storage medium, which will overcome the rejection.

# Allowable Subject Matter

Claims 1-4, 6-31, 33-45, and 64-70 are allowed in view of the Applicant's argument, the cited prior art of record, and the prosecution history of the instant application, from which the reasons for allowance are clear.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to George C. Neurauter, Jr. whose telephone number is 571-272-3918. The examiner can normally be reached on Monday-Friday 9am-5:30pm.

Application/Control Number: 09/965,932

Art Unit: 2143

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Wiley, can be reached on 571-272-3923. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

George C. Neurauter, Jr. Patent Examiner Art Unit 2143